

Policy Governing Material Changes to the Methodology of the CSI MarketGrader China 200 Index

About the Index

The CSI MarketGrader China New Economy Index is the result of a collaboration between MarketGrader and China Securities Index Co. (CSI). The index seeks to track the most fundamentally sound companies in all of China, across all sectors. It is comprised of companies listed in the Shanghai Stock Exchange and the Shenzhen Stock Exchange. Its methodology was jointly developed by MarketGrader and CSI, and both parties maintain oversight of the index's methodology. The index is calculated and published on each trading day by CSI.

Policy On Material Changes to Index Methodology

MarketGrader and CSI periodically review the index's methodology to ensure it is maintained according to its stated objectives. Any change deemed necessary to the index methodology will be done in consultation between and agreed by both parties and announced publicly at least 3 months in advance of its effective date. MarketGrader will publish all announcements related to any changes to the index methodology on its web site, accessible through the link appearing below.

https://www.marketgrader.com/indexes/overview/CSI_MG_China_200

Questions

Questions about the Index, the Index rules or any changes to its methodology may be sent directly to indexes@marketgrader.com. Interested parties may also reach MarketGrader directly at +1 (305) 529-1767.